

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant

: Jan O. Solem, et al.

Confirmation No. 2503

Application No.

: 10/714,462

Filed

: November 13, 2003

Title

: METHOD AND DEVICE FOR TREATMENT OF MITRAL

INSUFFICIENCY

Grp./Div.

: 3738

Examiner

: David J. Isabella

Docket No.

: 51533/E303

INFORMATION DISCLOSURE STATEMENT WITH FEE **UNDER 37 CFR §§** 1.97(c) AND 1.17(p)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 November 29, 2006

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed FOREIGN PATENT DOCUMENTS are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

Application No. 10/714,462

Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

For the Examiner's convenience, the Applicant is submitting two SB/08 forms for this case. One SB/08 form includes the Applicant's own references and the second SB/08 form includes references not owned by the Applicant.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Oliver S. Bajracharya

Reg. No. 55,905 626/795-9900

OSB/mmm

Enclosures: Check \$180

Copy of this paper

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(Two) Form PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-07) Substitute for PTO-1449A/B	Attorney Docket Number	51533/E303
DEC 0 4 2006 INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	10/714,462
	Filing Date	November 13, 2003
	Applicant(s)	Jan O. Solem, et al.
(use as many sheets as necessary)	Group Art Unit	3738
	Examiner Name	David J. Isabaella

		U.S. P	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
	•	US 2001/0018611 A1	08-30-2001	Solem et al.
		US 2004/0176840 A1	09-09-2004	Langberg et al.
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		FOREIGN PA	ATENT DOCUMENTS	5	
EXAMINER INITIALS	Cite No.¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (♥)

		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B

INFORMATION DISCLOS

STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Examiner Name	David J. Isabaella	

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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		5,224,491	07-06-1993	Mehra
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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		6,629,534 B1	10-07-2003	St. Goar et al.

		FOREIGN P	ATENT DOCUMEN	TS	
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		WO 96/34211	10-31-1996	Besselink	
		WO 98/51365	11-19-1998	Davis	
		WO 02/060352 A1	08-08-2002	Lashinski et al.	

		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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